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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001_0331A

First Named Inventor : Peter PÖCHLAUER et al.

Title: PROCESS FOR THE PREPARATION OF OPTICALLY AND CHEMICALLY HIGHLY PURE (R) - or (S)- α -HYDROXYCARBOXYLIC ACIDS

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

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|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Small Entity Status is hereby asserted.</p> <p>3. <input checked="" type="checkbox"/> Specification
<i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Sequence Listing, a table, or a computer program listing appendix. - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input type="checkbox"/> Drawing(s) <i>(35 USC 113)</i></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration</p> <p>a.1. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>a.2. <input type="checkbox"/> Unexecuted</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)</p> | <p><i>(Total Pages: 14)</i></p> <p><i>(Total Sheets: 1)</i></p> <p><i>(Total Pages : 3)</i></p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program <i>(Appendix)</i></p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|---|--|

ACCOMPANYING APPLICATION PARTS

- 9. Assignment Papers (cover sheet & document(s))
- 10. 37 CFR 3.73(b) Statement *(when there is an assignee)*
- Power of Attorney
- 11. English Translation Document *(if applicable)*
- 12. Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- 13. Preliminary Amendment
- 14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
- 15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. Other CLAIM OF PRIORITY UNDER 35 USC 119

ATTACHMENT IS AUTHORIZED

FOR USE IN THE PATENT OFFICE IN THE

UNITED STATES AND TO DEPOSIT

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By: Matthew Jacob
Matthew Jacob
Registration No. 25,154

WENDEROTH, LIND & PONACK, L.L.P.
2033 "K" Street, N.W., Suite 800
Washington, D.C. 20006-1021
Phone:(202) 721-8200
Fax:(202) 721-8250

April 16, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter PÖCHLAUER et al.

Serial No. NEW

: Attn: APPLICATION BRANCH

Filed April 16, 2001

: Attorney Docket No. 2001_0331A

PROCESS FOR THE PREPARATION OF
OPTICALLY AND CHEMICALLY HIGHLY PURE
(R) - or (S)- α -HYDROXYCARBOXYLIC ACIDS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$980.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$710.00

Assignment for Recordal \$

Additional Claims Fee:

Excess of Twenty \$

Independent \$

Multiple Dependent Fee \$270.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Peter PÖCHLAUER et al.

By Matthew Jacob
Matthew Jacob
Registration No. 25,154
Attorney for Applicants

MJ/kjf
WENDEROTH, LIND & PONACK, L.L.P.
2033 K St., N.W., Suite 800
Washington, D.C. 20006-1021
Telephone (202) 721-8200
April 16, 2001

[Check No. 43946]
2001_0331A